

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b)) - SMALL BUSINESS CONCERN

Applicant or Patentee: _____
Attorney's Docket No.: _____
Serial or Patent No.: _____
Filed or Issued: _____

Title: A TEXT-TO-SPEECH CONVERSION SYSTEM FOR INTERLOCKING WITH
MULTIMEDIA AND A METHOD FOR ORGANIZING INPUT DATA OF THE SAME

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: _____
ADDRESS OF CONCERN: _____

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any fights in the invention is listed below:

- ☐ no such person, concern, or organization exists.
☐ each such person, concern or organization listed below

FULL NAME: ELECTRONICS AND TELECOMMUNICATIONS RESEARCH INSTITUTE
ADDRESS: 161 Kajong-Dong, Yusong-Gu, Daejon-Shi, Korea
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Keun Jang Song
TITLE OF PERSON OTHER THAN OWNER Head of Intellectual Property Section
ADDRESS OF PERSON SIGNING 161 Kajong-Dong, Yusong-Gu, Daejon-Shi, Korea
SIGNATURE KS Song DATE 20/10/ 1997

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
Includes Reference to PCT International Applications

Attorney's Docket No.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**A TEXT-TO-SPEECH CONVERSION SYSTEM FOR INTERLOCKING WITH MULTIMEDIA
AND A METHOD FOR ORGANIZING INPUT DATA OF THE SAME**

the specification of which (check only one item below)
☐ is attached hereto

☐ was filed as United States application

Serial No. _____

on _____

and was amended

on _____ (if applicable).

☐ was filed as PCT international application

Number _____

on _____

and was amended under PCT Article 19

on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of the application in accordance with Title 37, Code of Federal Regulations, § .56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 19 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

PRIOR FOREIGN/PCT APPLICATIONS AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

Country (if PCT, indicate "PCT")	Application Number	Date of Filing (day, month, year)	Priority Claimed Under 35 U.S.C. 119	
Korea	97-17615	08/05/1997	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Continued)

Includes Reference to PCT International Applications

Attorney's Docket No.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATIONS			STATUS (check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE		PATENTED	PENDING	ABANDONED
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)			

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (List name and registration number)

MYRON COHEN, Reg. No. 17,358; THOMAS C. PONTANI, Reg. No. 29,763; LANCE J. LIEBERMAN, Reg. No. 28,437; MARTIN B. PAVANE, Reg. No. 28,337; MICHAEL C. STUART, Reg. No. 35,698; JAMES J. DeCARLO, Reg. No. 36,120; CAROL E. ROZEK, Reg. No. 36,993; EDWARD M. WEISZ, Reg. No. 37,257; KLAUS P. STOFFEL, Reg. No. 31,668; CHI K. ENG, Reg. No. 38,870; EDWARD ETKIN, Reg. No. 37,824; CHERYL COHEN, Reg. No. 40,361; and JULIA S. KIM, Reg. No. 36,567.

Send correspondence to:


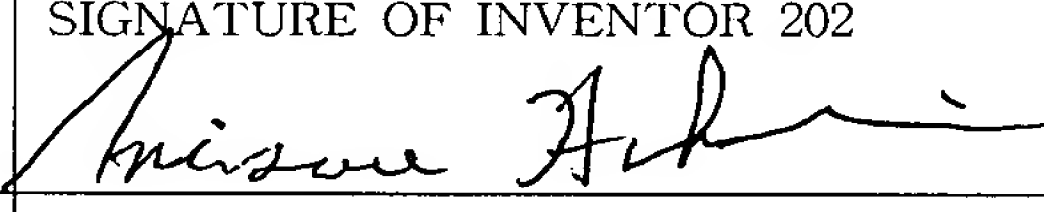
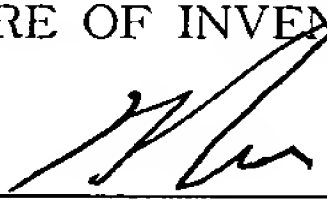
Martin B. Pavane, Esq.
Reg. No. 28,337
Cohen, Pontani, Lieberman & Pavane
551 Fifth Avenue, Suite 1210
New York, New York 10176

Direct Telephone calls to:
(name and telephone number)

Martin B. Pavane, Esq.
(212) 687-2770

201	FULL NAME OF INVENTOR	FAMILY NAME Lee	FIRST GIVEN NAME Jung	SECOND GIVEN NAME Chul
	RESIDENCE & CITIZENSHIP	CITY Daejon-Shi	STATE OR FOREIGN COUNTRY Korea	COUNTRY OF CITIZENSHIP Korea
	POST OFFICE ADDRESS	POST OFFICE ADDRESS Sambu Apt. 36-102, Taepyung-Dong, Choong-Gu	CITY Daejon-Shi	STATE & ZIP CODE/COUNTRY 301-150 / Korea
202	FULL NAME OF INVENTOR	FAMILY NAME Hahn	FIRST GIVEN NAME Min	SECOND GIVEN NAME Soo
	RESIDENCE & CITIZENSHIP	CITY Daejon-Shi	STATE OR FOREIGN COUNTRY Korea	COUNTRY OF CITIZENSHIP Korea
	POST OFFICE ADDRESS	POST OFFICE ADDRESS Hanwool Apt. 106-1004, Shinsung-Dong, Yusong-Gu	CITY Daejon-Shi	STATE & ZIP CODE/COUNTRY 305-345 / Korea

Equivalent to PTO 139(REV.10 83)

Combined Declaration for Patent Application and Power of Attorney (Continued) (Includes Reference to PCT International Applications)				Attorney's Docket No.
203	FULL NAME OF INVENTOR	FAMILY NAME Lee	FIRST GIVEN NAME Hang	SECOND GIVEN NAME Seop
	RESIDENCE & CITIZENSHIP	CITY Daejon-Shi	STATE OR FOREIGN COUNTRY Korea	COUNTRY OF CITIZENSHIP Korea
	POST OFFICE ADDRESS	POST OFFICE ADDRESS Chowan Apt. 106-1509, Mannyen-Dong, Seo-Gu	CITY Daejon-Shi	STATE & ZIP CODE/COUNTRY 302-150 / Korea
204	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
205	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
206	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.</p>				
SIGNATURE OF INVENTOR 201 		SIGNATURE OF INVENTOR 202 		SIGNATURE OF INVENTOR 203 
DATE 20/10/1997		DATE 20/10/1997		DATE 20/10/1997
SIGNATURE OF INVENTOR 204		SIGNATURE OF INVENTOR 205		SIGNATURE OF INVENTOR 206
DATE		DATE		DATE